

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Thomas Daley, *et. al.*)
Application No:)
10/766,965) Art Unit: 3696
Filed: January 29, 2004) Confirmation No.: 4387
For: SYSTEM AND METHOD FOR) Examiner: Gerald Vizvary
AVOIDING TRANSACTION COSTS)
ASSOCIATED WITH TRADING)
ORDERS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

AMENDMENT AFTER NOTICE OF APPEAL

The amendments are submitted after the Final Office Action of November 21, 2008 (“Final Action”) and Notice of Appeal of May 21, 2009, but prior to the filing of an Appeal Brief (“Appeal Brief”). The amendments are being filed under 37 C.R.F.R. 41.33, as provided by 37 C.F.R. § 1.116, in order to place the application in better form for appeal by materially reducing and/or simplifying the issues for appeal.

Although no fee is believed due, the Commissioner of Patents is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.17 in connection with the present application, or credit any overpayment of same to Deposit Account No. 50-3938.

Amendments to the Claims begin on page **2** of this paper.

Remarks begin on page **8** of this paper.